



<u>Last Name, First name</u>	THOMAS Caroline FCI Arb
Address	32 Po Wah Yuen, Yung Shue Wan, Lamma Island, Hong Kong
Telephone	(+852) 6112 8516
Fax	
Email	cct2146@columbia.edu
Nationality/ Date of Birth	Luxembourg, Swiss, German/ May 1982

Educational/ Current position / Professional Experience

Educational Experience

- **Baccalaurate** (1999, European School of Luxembourg)
- **Diplôme** du Programme International (2001-2, Sciences Po Paris)
- **MA** Economics and History (2003, Trinity College Dublin)
- Chartered Insurance Institute (CII) **Diploma in Insurance**
- **LL.M** (2021, Columbia University) with focus on arbitration

Admissions

- Solicitor **England & Wales** (2008)
- Solicitor **Hong Kong** (2010)
- Admitted **New York** (2022)

Relevant Board Memberships

- Board member of Hong Kong Insurance Law Association Limited since 2012
- Board member of Chartered Institute of Arbitrators East Asia Branch since 2023
- Board member of ARIAS Asia since 2023 (AIDA (Association Internationale de Droit des Assurances) Reinsurance and Insurance Arbitration Society)

Current Positions

- Director, Arbitratio & Concilium Limited
- Partner, Hauzen LLP affiliated with DWF Law LLP and associated with Anjie Broad Law Firm LLP

Previous Professional Experience

- Senior Manager at the Insurance Authority of Hong Kong (2018-20).
- Formerly partner at international insurance, shipping and trade firm in HK (2016-18).
- Previously at two further leading international insurance, shipping, trade law firms.
- Prior to becoming a lawyer, I worked at two fund managers (in Luxembourg and Australia). I have also advised/ been director of insurance companies.

Arbitration Experience

Extensive experience as counsel in international arbitration (over 50 HKIAC, ICC, LCIA, LMAA, SIAC and UNCITRAL arbitrations) and included on several lists/ panels internationally. Acted as arbitrator in over 5 commercial arbitrations. Examples include:

- Appointments as arbitrator by SIAC, HKIAC and parties to disputes.
- As sole counsel successfully defending a SIAC arbitration (including a 3-day hearing) arising under a joint venture agreement.
- Acting for successful Claimant in complicated ICC arbitration in Paris.
- Acting as counsel in many commodities/ shipping/ trade disputes.

Publications and Speaking Engagements

- Forthcoming Arbitration Law Review 2024, Lloyd's List Intelligence.
- Funding Arbitration, International Practices, trends and possible developments in Luxembourg, with Franziska Studer of Nivalion, LAA, 26 September 2024.
- Arbitration Law Review 2023, Lloyd's List Intelligence (<https://www.i-law.com/ilaw/doc/view.htm?id=438047>).
- Forthcoming, introduction chapter to Nutshell on Investor-State Arbitration edited by Professors Bermann and Duggal.
- "Paying for Arbitration" talk given for The University of Hong Kong's LLM Arbitration and Dispute Resolution series "Insights from the Dispute Resolution Community" (2023).
- "Reform of arbitration law: the Law Commission's consultation on Enka", (2023) originally published on Arbitration Law Monthly with K Duggal, and A Lee.
- "UNCITRAL Working Group III Produces First Deliverables on ISDS Reform", with Pijan Wu.
- TagTime with Matthew Gearing QC – Appeals on Questions of Law – Worth the Trouble?, March 25, 2021, The American Review of International Arbitration, Columbia University <https://aria.law.columbia.edu/tagtime-with-matthew-gearing-qc-appeals-on-questions-of-law-worth-there-trouble/>).

Languages

English & German (native), French (fluent), Spanish & Italian (working), Mandarin (knowledge)